

#16
RCE
2/16/03

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Application Number	09/460,552
Filing Date	12/14/99
First Named Inventor	Dean, Jr. et al.
Art Unit	2872
Examiner Name	Amari, A.
Attorney Docket Number	HE0083

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under CFR 1.116 previously filed on May 15, 2003
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☐ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

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JUN 10 2003

TECHNOLOGY CENTER 2800

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months.
(Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other DA

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-2167.
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other
- b. ☐ Check in the amount of \$ enclosed.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form.
Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Michael E. Carroll, Jr.
Signature	<i>Michael E. Carroll, Jr.</i>
Registration No. (Attorney/Agent)	46,602
Date	6-10-03

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Patricia L. Mesuch	Date	6/10/03
Signature	<i>Patricia L. Mesuch</i>		

Facsimile Cover Sheet

To: Technology Center 2800
Company: USPTO – After Final
Phone:
Fax: 1-703-872-9319

From: Michael Carroll
Company: Corning Cable Systems
Phone: 828-901-6725
Fax: 828-901-5206

Date: June 10, 2003
Pages including this cover page: 13

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**IF ALL PAGES NOT RECEIVED, CALL
PATTY MESUCH (828) 901-5156**

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Re: Serial No. 09/460,552
Filed: December 14, 1999

Attached:

- RCE Transmittal (1 page)
- Copy of Reply Under 37 C.F.R. Sec. 1.116 – Expedited Procedure filed on May 15, 2003 (11 pages)

Confidentiality Note: This facsimile message is intended only for the use of the individual or entity named and it may contain information that is confidential, proprietary and/or legally privileged. If the reader of this message is not the intended recipient, you are hereby notified that any use, dissemination, distribution or copying of this facsimile is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone (828/901-5156) and return the original message to the attention of LEGAL DEPARTMENT, CORNING CABLE SYSTEMS, P.O. BOX 489, HICKORY, NC, 28603-0489, via regular mail or otherwise. Thank you.



JUN. 10. 2003 8:42AM

CCSLEGAL SPTD->

8289015206 Right FAX NO. 274 P. 3 of 001

TO: Auto-reply fax to 8289015206 COMPANY:

Auto-Reply Facsimil Transmission**UNITED STATES
PATENT AND
TRADEMARK OFFICE**

TO:

Fax Sender at 8289015206

Fax Information

Date Received:

5/15/03 4:45:20 PM [Eastern Daylight Time]

Total Pages:

10 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

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Cover
Page

=====>

JUN 15 2003 4:44PM

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NO. 274 P. 3

Facsimile Cover Sheet

To: Technology Center 2800
Company: USPTO - After Final
Phone:
Fax: 1-703-872-9319

From: Michael E. Carroll
Company: Corning Cable Systems
Phone: 828-801-6725
Fax: 828-801-5206

Date: May 15, 2003
Pages including this cover page: 10

**IF ALL PAGES NOT RECEIVED, CALL
 TANDIE B. McFARLAND (828) 901-6792**

RE: Serial No. 09/460,552
Filed: December 14, 1999

Following please find:

1. Reply Under 37 C.F.R. Sec. 1.116 - Expedited Procedure Response to Office Action Dated April 9, 2003; and
2. Fee Transmittal Form

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Received from <8289015206> at 5/15/03 4:45:20 PM [Eastern Daylight Time]

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Received from <8289015206> at 6/10/03 8:44:16 AM [Eastern Daylight Time]

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NO. 274 P. 4 P. 1

* * * COMMUNICATION RESULT REPORT (MAY. 15. 2006 , 4:47PM) * * *

FAX HEADER: CCSLEGAL

TRANSMITTED/STORED : MAY. 15. 2003 4:44PM
FILE MODE OPTION

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FILE MODE

OPTION

ADDRESS

RESULT

PAGE

110 MEMORY TX

G3 : TC2800_AF

OK

10/10

REASON FOR ERROR OR LINE FAIL

SEP 12 } BUSY
NO FACSIMILE CONNECTION

Facsimile Cover Sheet

To: Technology Center 2800
Company: USPTO – After Final
Phone:
Fax: 1-703-872-9319

FAX RECEIVED

From: Michael E. Carroll
Company: Corning Cable Systems
Phone: 828-901-6725
Fax: 828-901-5206

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TECHNOLOGY CENTER 2800

Date: May 15, 2003

**Pages Including this
cover page: 10**

**IF ALL PAGES NOT RECEIVED, CALL
TAMMIE B. McFARLAND (828) 901-6792**

RE: Serial No. 09/460,552
Filed: December 14, 1999

Following please find:

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2. Fee Transmittal Form

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FEE TRANSMITTAL for FY 2003

☐ Applicant claims small entity status. See 37 CFR 1.27

Complete if Known	
Application Number	09/460,552
Filing Date	December 14, 1999
First Named Inventor	Dean, Jr. et al.
Examiner Name	Amari, Alessandro V.
Art Unit	2872
Attorney Docket No.	HE0083

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TOTAL AMOUNT OF PAYMENT \$252.00

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METHOD OF PAYMENT (check all that apply)

FEE CALCULATION (continued)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account No. 19-2167

Deposit Account Name Corning Cable Systems LLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1):					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	- 20** = 14	X 18.00	= 252.00
Independent Claims	- 3** =	X 84.00	=
Multiple Dependent			=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2): \$252.00

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1807	50	1807	50	Processing fees under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Info Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3):

SUBMITTED BY:

Complete (if applicable)

Name (Print/Type)	Michael E. Carroll, Jr.	Registration No. (Attorney/Agent)	46,602	Telephone:	(828) 901-6725
Signature	<i>Michael E. Carroll, Jr.</i>	Date	May 15, 2003		

I hereby certify that this correspondence, 8 pages, is being forwarded to the Commissioner For Patents via Facsimile Transmission to Technology Center 2800 at 703-872-9319 after receipt of a Final Office Action on the merits, but within two months of the Office Action date, on May 15, 2003.

Tammie B. McFarland
Tammie B. McFarland

5-15-03
Date of signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Dean, Jr. et al.

Serial No.: 09/460,552

Filed: December 14, 1999

Title: Ferrule Having First and Second Body Portions with
Different Nominal Widths and an Associated Mold and
Fabrication Method

Attorney Docket: HE0083

Art Unit: 2872

Examiner: Amari, Alessandro V.

REPLY UNDER 37 C.F.R. sec. 1.116
EXPEDITED PROCEDURE

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TECHNOLOGY CENTER 2800

Dear Sir:

In response to the Office Action dated April 9, 2003, the following faxed amendment(s) and/or remark(s) are submitted. Applicants respectfully request that this reply be entered into the record as a substantive reply to the outstanding Office Action.